

# Referral to treatment (RTT) waiting times statistics for consultant-led elective care

2018/19 Annual Report

NHS England and Improvement



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Version number: 1

First published: 13 June 2019

Updated: 25 June 2019 (to correct reference to Welsh RTT standards in section

7.5.2)

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Activity and Planning Classification: OFFICIAL

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# 1. Summary

#### 1.1 Incomplete pathways

- 1.1.1 As at the end of March 2019, 86.7% of patients waiting to start treatment (incomplete pathways) had been waiting up to 18 weeks.
- 1.1.2 Nationally, with the exception of February 2016, the operational standard for incomplete pathways has not met been met since November 2015.
- 1.1.3 At the end of March 2019, 92% of patients had been waiting less than 22.3 weeks to start treatment (this is the 92<sup>nd</sup> percentile waiting time).
- 1.1.4 The number of RTT patients waiting to start treatment at the end of March 2019 was 4.2 million. Of those, 1,154 had been waiting more than 52 weeks.
- 1.1.5 Factoring in estimates based on the latest data submitted for missing trusts suggests the total number of RTT patients waiting to start treatment at the end of March 2019 may have been 4.3 million.
- 1.1.6 Since August 2013, there has been a reduction in the percentage of incomplete pathways within 18 weeks in every month compared to the same month in the previous year. During 2018/19, performance ranged from 86.6% (Dec 2018) to 88.1% (May 2018).
- 1.1.7 Since April 2012, the RTT waiting list has been at a higher level each month than the same month in the previous year. During 2018/19, the waiting list was on average 280,000 pathways (or around 7%) higher each month when compared to the previous year, including estimates for missing data.
- 1.1.8 For patients on incomplete pathways, the median waiting time at the end of March 2019 was 6.9 weeks.

# 1.2 Completed admitted pathways

- 1.2.1 In 2018/19, 14,541 patients started admitted treatment per working day, compared to 14,519 in 2017/18 (an increase of 0.2%), including estimates for missing trusts.
- 1.2.2 In 2018/19, the median wait for admitted treatment was 10.1 weeks.

# 1.3 Completed non-admitted pathways

- 1.3.1 In 2018/19, 52,279 patients started non-admitted treatment per working day, compared to 50,997 in 2017/18 (an increase of 2.5%), including estimates for missing trusts.
- 1.3.2 In 2018/19, the median wait for non-admitted treatment was 6.0 weeks.

#### 1.4 Assessment of 2018/19 RTT commitments

- 1.4.1 The 2018/19 Planning Guidance for RTT (<a href="https://www.england.nhs.uk/wp-content/uploads/2018/02/planning-guidance-18-19.pdf">https://www.england.nhs.uk/wp-content/uploads/2018/02/planning-guidance-18-19.pdf</a>) set out ambitions for Commissioners and Providers:
  - Numbers nationally of patients waiting more than 52 weeks for treatment should be halved by March 2019, and locally eliminated wherever possible; and
  - Ensure the RTT waiting list will be no higher in March 2019 than in March 2018 and, where possible, they should aim for it to be reduced.

During 2018/19, there have been changes in the list of providers unable to report RTT data. This missing data will impact on comparisons of volume measures over time, including the number of patients waiting more than 52 weeks and the size of the RTT waiting list.

Below is a statement of progress against the 2018/19 RTT commitments based on published figures and using a like-for-like comparison which includes providers that reported RTT data for both March 2018 and March 2019:

- Based on the published figures, the number of patients waiting more than 52 weeks fell by 58% between March 2018 and March 2019 and the RTT waiting list increased by 10%.
- The like-for-like comparison, including only providers that reported RTT data for both March 2018 and March 2019, shows that the number of patients waiting more than 52 weeks fell by 63% between March 2018 and March 2019 and the RTT waiting list increased by 5%.

The like-for-like comparison excludes the following trusts that were unable to submit RTT data for either March 2018, March 2019 or both:

- Barts NHS Health Trust (R1H) March 2018
- East & North Hertfordshire NHS Trust (RWH) March 2018
- Gloucestershire Hospitals NHS Foundation Trust (RTE) March 2018
- Mid Essex Hospital Services NHS Trust (RQ8) both March 2018 and March 2019
- Northern Devon Healthcare NHS Trust (RBZ) March 2018
- St George's University Hospitals NHS Foundation Trust (RJ7) March 2018
- Royal Free London NHS Foundation Trust (RAL) March 2019

Nuffield Health, Brighton Hospital (NT205) is also excluded from the like-for-like comparison group due to a data validation exercise at the trust which reduced the number of 52+ week incomplete pathways for February 2019 onwards. The trust was not able to validate data returns for previous months (including March 2018).

#### 2. Introduction

- 2.1.1 This report presents a summary of English NHS referral to treatment (RTT) waiting times statistics for consultant-led elective treatment up to March 2019.
- 2.1.2 Patients have a legal right under the NHS Constitution to access services within maximum referral to treatment waiting times, or for the NHS to take all reasonable steps to offer them a range of alternative providers if this is not possible. The continued publication of waiting times information will ensure that the NHS is accountable to the patients and public it serves. This information, combined with the quality of patients' experiences and outcomes, will inform patients' choices of where they want to be treated.
- 2.1.3 The incomplete pathway operational standard is the measure of patients' constitutional right to start treatment within 18 weeks.
- 2.1.4 The United Kingdom Statistics Authority has designated these statistics as National Statistics, in accordance with the Statistics and Registration Service Act 2007 and signifying compliance with the Code of Practice for Official Statistics.
- 2.1.5 The Government Statistical Service (GSS) statistician with overall responsibility for the data in this report is:

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E-mail: england.rtt@nhs.net

# 3. Key terms

The following are key terms used in this report. For a more comprehensive list of terminology, please see the glossary.

# 3.1 RTT pathway

Patients referred for non-emergency consultant-led treatment are on RTT pathways. An RTT pathway is the length of time that a patient waited from referral to start of treatment, or if they have not yet started treatment, the length of time that a patient has waited so far.

The following activities end the RTT pathway:

- first treatment the start of the first treatment that is intended to manage a patient's disease, condition or injury in a RTT pathway
- start of active monitoring initiated by the patient
- start of active monitoring initiated by the care professional
- decision not to treat decision not to treat made or no further contact required
- patient declined offered treatment
- patient died before treatment.

Each pathway relates to an individual referral rather than an individual patient so if a patient was waiting for multiple treatments they may be included in the figures more than once. Where we refer to the number of 'patients' waiting or starting treatment in this report, technically, we are considering the number or percentage of 'pathways'.

# 3.2 Incomplete pathway

Incomplete pathways, often referred to as waiting list times, are the waiting times for patients waiting to start treatment, as at the end of each month. The volume of incomplete RTT pathways is often referred to as the size of the RTT waiting list.

The incomplete waiting time standard was introduced in 2012 and states that the time waited must be 18 weeks or less for at least 92% of patients on incomplete pathways. For more information see section 3.6.

# 3.3 Admitted pathway

Admitted pathways are the waiting times for patients whose treatment started during the period and involved admission to hospital. These are also often referred to as inpatient waiting times. They include the complete time waited from referral until start of inpatient treatment. Although data on

admitted pathways are still collected, there is no longer an operational waiting time standard.

# 3.4 Non-admitted pathway

Non-admitted pathways are the waiting times for patients whose wait ended during the period for reasons other than inpatient or day case admission for treatment. These are also often referred to as outpatient waiting times. Although data on non-admitted pathways are still collected, there is no longer an operational waiting time standard.

# 3.5 RTT waiting time rights and pledges

Patients have a legal right under the NHS Constitution to access services within maximum referral to treatment waiting times, or for the NHS to take all reasonable steps to offer them a range of alternative providers if this is not possible.

# 3.6 Operational waiting time standard

The operational waiting time standards are set out in the NHS Constitution<sup>1</sup> (in conjunction with the Handbook to the NHS Constitution<sup>2</sup>) and in the NHS Standard Contract<sup>3</sup>.

The NHS Constitution standard sets out that more than 92% of patients on incomplete pathways should have been waiting no more than 18 weeks from referral. The standard leaves an operational tolerance to allow for patients for whom starting treatment within 18 weeks would be inconvenient or clinically inappropriate. These circumstances can be categorised as:

- patient choice patients choose not to accept earliest offered appointments along their pathway or choose to delay treatments for personal or social reasons
- co-operation patients who do not attend appointments along their pathways
- clinical exceptions where it is not clinically appropriate to start a patient's treatment within 18 weeks.

NHS England introduced a zero tolerance of any RTT waits of more than 52 weeks in 2013/14.

In June 2015, Simon Stevens accepted Sir Bruce Keogh's recommendations for improvements to the waiting time standards for elective care. The admitted (90%) and non-admitted (95%) operational standards were abolished, and the incomplete pathway standard (above) became the sole measure of patients' constitutional right to start treatment

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<sup>&</sup>lt;sup>1</sup> https://www.gov.uk/government/publications/the-nhs-constitution-for-england

<sup>&</sup>lt;sup>2</sup> https://www.gov.uk/government/publications/supplements-to-the-nhs-constitution-for-england

<sup>&</sup>lt;sup>3</sup> https://www.england.nhs.uk/nhs-standard-contract/2017-19-update-may/

within 18 weeks. On 1 October 2015, the National Health Service Commissioning Board and Clinical Commissioning Groups (Responsibilities and Standing Rules) (Amendment) (No.2) Regulations 2015 came into effect, removing the provision to report pauses or suspensions in RTT waiting time clocks in monthly RTT returns and removing the admitted and non-admitted standards.

The 2018/19 Planning Guidance for RTT (<a href="https://www.england.nhs.uk/wp-content/uploads/2018/02/planning-guidance-18-19.pdf">https://www.england.nhs.uk/wp-content/uploads/2018/02/planning-guidance-18-19.pdf</a>) set out ambitions for Commissioners and Providers:

- Numbers nationally of patients waiting more than 52 weeks for treatment should be halved by March 2019, and locally eliminated wherever possible; and
- Ensure the RTT waiting list will be no higher in March 2019 than in March 2018 and, where possible, they should aim for it to be reduced.

#### 4. RTT waiting times

# 4.1 Operational waiting time standards

- 4.1.1 As at March 2019, 86.7% of patients on incomplete RTT pathways had waited 18 weeks or less from time of referral, thus not meeting the 92% standard (Table 1).4
- 4.1.2 Nationally, with the exception of February 2016, the operational standard for incomplete pathways has not met been met since November 2015.
- 4.1.3 At the end of March 2019, 92% of patients had been waiting less than 22.3 weeks for consultant led treatment (this is the 92<sup>nd</sup> percentile waiting time) (Table 1 and Chart 2).
- 4.1.4 The number of RTT patients waiting to start treatment at the end of March 2019 was 4.23 million.
- 4.1.5 Over the longer term, prior to the announcement in November 2011 and introduction from April 2012 of the 92% incomplete standard, the percentage of incomplete pathways within 18 weeks at England level fluctuated around 90%. Performance increased in response to the new standard before broadly stabilising between May 2012 and May 2013. Since August 2013, there has been a reduction in the percentage of incomplete pathways within 18 weeks in every month compared to the same month in the previous year (Chart 1).
- 4.1.6 During 2018/19, performance ranged from 88.1% (May 2018) to 86.6% (December 2018).

Table 1: Percentage of incomplete RTT pathways within 18 weeks, and the incomplete 92nd percentile waiting time, England

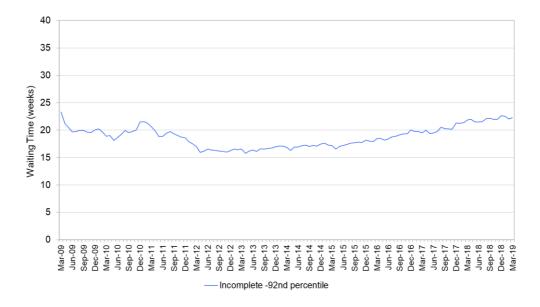
Month	Incomplete pathways % within 18 weeks	Incomplete 92 <sup>nd</sup> Percentile waiting time (weeks)
Mar-09	87.6%	23.3
Mar-10	91.1%	18.9
Mar-11	89.4%	20.7
Mar-12	93.3%	17.0
Mar-13	94.2%	16.6
Mar-14	93.7%	16.9
Mar-15	93.1%	17.2
Mar-16	91.5%	18.5
Mar-17	90.3%	19.5
Mar-18	87.2%	21.9
Mar-19	86.7%	22.3

<sup>&</sup>lt;sup>4</sup> Incomplete pathways are a snapshot of the patients waiting at the end of a month, hence why the final month in the financial year is used; it would be inappropriate to sum the incomplete pathways across the entire year.

Chart 1: Percentage of incomplete RTT pathways within 18 weeks, England



Chart 2: Incomplete 92nd percentile waiting time (weeks), England



- 4.1.7 NHS England introduced a zero tolerance of any RTT waits of more than 52 weeks in 2013/14. The number of incomplete pathways greater than 52 weeks fell from over 400,000 at the end of 2007 to just under 500 at the end of March 2013 and remained stable during 2013/14 and 2014/15. Over the course of 2015/16, 2016/17 and 2017/18, the number of 52+ week waiters increased, reaching 2,756 in March 2018. From June 2018, the number started to fall and reached 1,154 by March 2019 (Table 2). As for previous years, this does not include waiters at trusts that have not submitted data. Further detail regarding missing data is provided in Section 7.3.
- 4.1.8 It is likely that some of the decrease in incomplete pathways greater than 52 weeks between 2007 and the introduction of the zero-tolerance target in April

2013 resulted from some trusts identifying 52+ week incomplete pathways that were data errors through validation work.

Table 2: Number of incomplete pathways greater than 52 weeks, England

Month	Incomplete pathways 52 week waits <sup>5</sup>
Mar-08	240,689
Mar-09	47,013
Mar-10	18,494
Mar-11	14,355
Mar-12	5,149
Mar-13	473
Mar-14	488
Mar-15	475
Mar-16	871
Mar-17	1,528
Mar-18	2,756
Mar-19	1,154

# 4.2 Average waiting times

- 4.2.1 The median waiting time is the middle value when all patients are ordered by length of wait.
- 4.2.2 For patients on incomplete pathways, the median waiting time at the end of March 2019 was 6.9 weeks. In 2018/19, the median wait for admitted treatment was 10.1 weeks; for non-admitted treatment, it was 6.0 weeks.
- 4.2.3 The median time waited for incomplete pathways was higher for each month in 2018/19 than the equivalent in 2017/18 and followed the seasonal pattern seen in previous years (Chart 3).
- 4.2.4 During 2018/19, the median time waited for admitted patients was broadly stable compared to 2017/18. Prior to 2018/19, the long-term trend shows a gradual increase in median admitted waiting times, with a clear seasonal pattern.
- 4.2.5 There was a step change in the median time waited for non-admitted patients in April 2013, which likely resulted from the transfer of responsibility for commissioning consultant-led sexual health services to local authorities<sup>6</sup>. The

<sup>5</sup> See Section 7.3 for details of missing data for some trusts.

<sup>&</sup>lt;sup>6</sup> From April 2013, reported consultant-led RTT waiting times no longer included waiting times for consultant-led sexual health services as they are no longer commissioned by the NHS. Consultant-led sexual health pathways included in the RTT waiting times data prior to April 2013 were predominantly within a week long and involved non-admitted treatment.

median time waited for non-admitted patients increased during 2016/17 and was broadly stable in 2017/18 and 2018/19.

Chart 3: Average (median) RTT waiting times, England.

- 4.2.6 The incomplete pathway median waiting time tends to peak in the middle of winter around December and January, and also in summer around August. These peaks are both followed by an increase in the median time waited for admitted and non-admitted pathways. The delayed peaks for completed pathways demonstrate the relationship between incomplete pathway waiting times and admitted and non-admitted pathway 'time waited' waiting times.
- 4.2.7 Incomplete pathways are the waiting times for patients waiting to start treatment. When these patients start treatment, the time that they waited is captured in the admitted and non-admitted waiting times. Therefore, if there is an increase in the waiting times of patients who have not started treatment, in subsequent months this will be followed by an increase in the 'time waited' admitted and non-admitted waiting times.
- 4.2.8 The seasonal pattern of peaks in median waiting times corresponds with winter and summer holiday seasons. The winter peak is associated with poorer weather which is likely to affect the balance within a hospital between elective and non-elective care.

# 4.3 Incomplete Pathways and Activity

4.3.1 On average, four acute trusts were unable to submit monthly RTT data each month during 2018/19. See Section 7.3 for a detailed breakdown of data coverage.

4.3.2 The biggest impact of missing data is on measures of volume, such as the number of patients who started treatment and the size of the RTT waiting list.

As a result, throughout this section, the published monthly data have been adjusted to include estimates for missing trusts. See Section 7.3 for an explanation of the methodology for estimating missing data<sup>7</sup>.

#### **Incomplete Pathways**

- 4.3.3 The numbers of incomplete pathways are often referred to as the RTT waiting list because these are the patients recorded as waiting at the end of the month to start treatment.
- 4.3.4 The number of RTT patients waiting to start treatment at the end of March 2019 was 4.3 million, including estimates for missing data.
- 4.3.5 Since April 2012, the RTT waiting list has been at a higher level each month than the same month in the previous year, including estimates for missing data. During 2018/19, the waiting list was on average 280,000 pathways (or around 7%) higher each month when compared to the previous year.
- 4.3.6 Over the longer-term, the RTT waiting list fell from just over 4 million patients waiting at the end of August 2007 to around 2.5 million patients at the end of October 2008. Between October 2008 and the middle of 2012, the number of RTT patients waiting was broadly stable around 2.5 million patients, subject to a clear seasonal trend with the number of patients waiting peaking in summer and generally lower in winter. Since 2012/13<sup>8</sup>, an underlying upward trend has caused the seasonal pattern in waiting list size to become less apparent (Chart 4).
- 4.3.7 The RTT waiting list grew by 14.5% in 2015/16 and by around 10.0% per year on average in 2016/17 and 2017/18. Waiting list growth slowed during 2017/18 and the growth rate remained relatively stable at around 7% during 2018/19 (see Chart 5).

<sup>&</sup>lt;sup>7</sup> The annex also includes versions of the charts from Section 4.3 without missing data estimates.

<sup>&</sup>lt;sup>8</sup> The announcement of the operational waiting time standard for incomplete pathways in November 2011 and its introduction from April 2012 is likely to have led to improvements in data capture of RTT incomplete pathways in some hospital trusts, particularly with regard to short waiters. It is likely that this caused some of the increase in the RTT waiting list during 2012/13.

Chart 4: Number of RTT patients waiting at the end of the month, including estimates for missing data, England

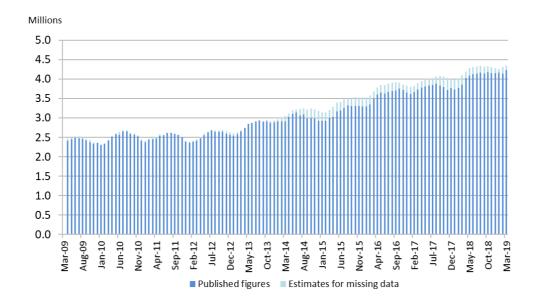
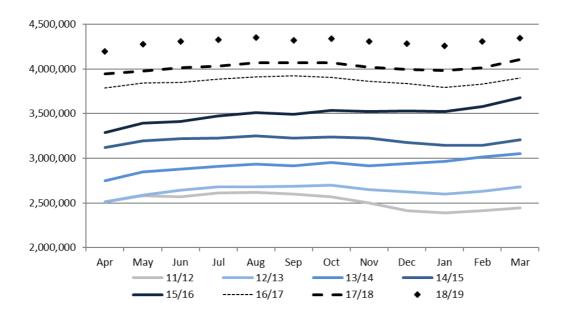


Chart 5: Growth in total incomplete RTT pathways (number of RTT patients waiting at the end of the month), including estimates for missing data, England



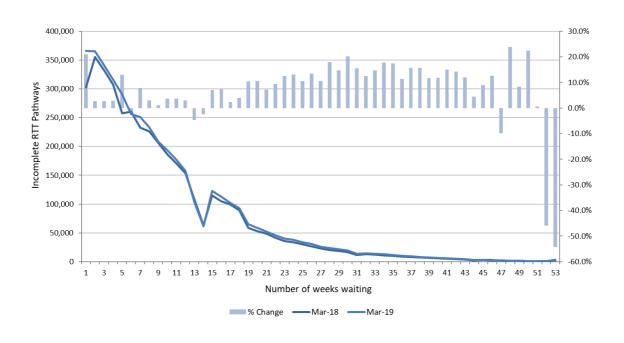
4.3.8 Comparing the total RTT waiting list year-on-year demonstrates the seasonal trend and the increase in the size of the waiting list from the middle of 2012 (Chart 6). Prior to 2012/13, the number of patients waiting in winter was generally lower, while the number of patients waiting peaked during summer. However, from 2012/13, the seasonal reduction in waiting list size has become less apparent.

Chart 6: Number of RTT patients waiting at month-end year-on-year, including estimates for missing data, England



4.3.9 In line with the overall trend, the number of incomplete RTT pathways increased for all but six weekly time bands between March 2018 and March 2019. The over 26-week bands saw the largest increases, of more than 20%, compared to March 2018. The most notable reductions were in the 51-52 and 52+ week time bands (Chart 7).

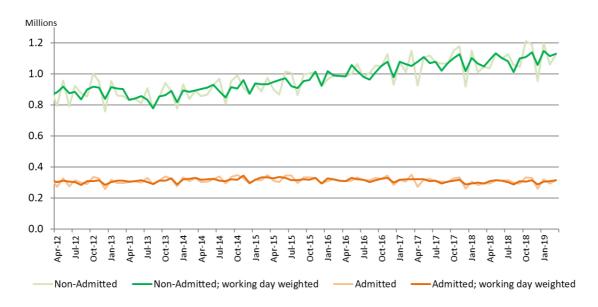
Chart 7: Percentage change between number of incomplete RTT pathways waiting at the end of March 2018 and March 2019, shown by number of weeks waited, including estimates for missing data, England.



#### **Activity**

- 4.3.10 The numbers of completed admitted and non-admitted pathways are often referred to as RTT activity because these are the numbers of patients who started treatment. Admitted RTT activity is broadly stable while non-admitted RTT activity has increased since the beginning of 2014, both follow a clear seasonal pattern. The removal of consultant-led sexual health services from RTT waiting times data contributed to a step change in the number of RTT patients starting non-admitted treatment in April 2013 (Chart 8).
- 4.3.11 The number of working days in a month, which is affected by the presence of bank holidays and the number of weekends, influences the level of RTT activity undertaken. When this is accounted for (by weighting activity according to the number of working days in each month), a smoother trend is observed (Chart 8).

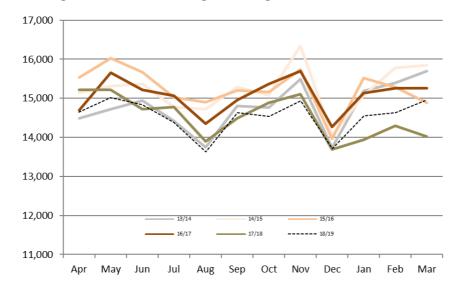
Chart 8: Number of RTT patients who started treatment in each month, including estimates for missing data, England<sup>9</sup>



4.3.12 In 2018/19, 14,541 patients started admitted treatment per working day compared to 14,504 in 2017/18 (an increase of 0.2%) (Chart 9).

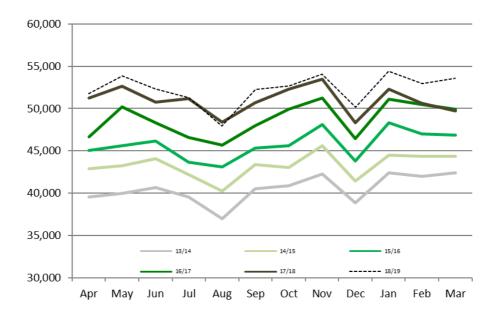
<sup>&</sup>lt;sup>9</sup> Data were weighted by dividing the average number of working days per month in the reference period (Aug-07 to Mar-16) by the number of working days in each individual month, then by multiplying this value by the number of pathways completed in each individual month.

Chart 9: Number of RTT patients starting admitted treatment per working day, including estimates for missing data, England.



4.3.13 In 2018/19, an average of just over 52,000 patients started non-admitted treatment per working day, compared with approximately 51,00 in 2017/18 (an increase of 2.5%). Since April 2014, the number of RTT patients starting non-admitted treatment per working day has been higher every month compared to the same month in the previous year (Chart 10).

Chart 10: Number of RTT patients starting non-admitted treatment per working day, including estimates for missing data, England.



# 5. RTT waiting times by specialty

- 5.1.1 There is some variation at specialty level in the percentage of RTT patients starting treatment within 18 weeks.
- 5.1.2 RTT waiting times data are collected against 18 treatment functions, which cover the main treatment areas. RTT waiting time data for types of treatments that are not covered by these 18 treatment functions are collected under 'Other'. The treatment functions are based on consultant specialties.
- 5.1.3 At the end of 2018/19, only one specialty (Geriatric Medicine) met the incomplete waiting time standard of 92%, compared with two specialities meeting the standard at the end of 2017/18 and eight at the end of 2016/17.
- 5.1.4 A breakdown of RTT pathways completed in 2018/19 and the percentage of incomplete pathways within 18 weeks as at March 2019, by treatment function, is provided in Table 3.

Table 3: RTT pathways completed in 2018/19 and percentage of incomplete pathways within 18 weeks as at Mar-19, by treatment function, England

			_									
Treatment function	Com	pleted, 2018/19	Incomplete, Mar-19 <sup>10</sup>									
Treatment function         Admitted         Non-admitted         Total           General Surgery         416,791         830,484         314,07           Urology         231,541         521,738         236,56           Trauma & Orthopaedics         603,869         1,231,914         498,27           ENT         167,454         916,062         304,33           Ophthalmology         539,676         1,180,451         432,83           Oral Surgery         169,904         389,959         172,22           Neurosurgery         29,533         76,173         33,23           Plastic Surgery         124,410         113,285         52,66           Cardiothoracic Surgery         25,032         14,878         8,76           General Medicine         29,490         187,035         42,57           Gastroenterology         236,147         492,119         222,68           Cardiology         106,404         589,505         207,46           Dermatology         104,463         861,632         201,46           Thoracic Medicine         22,127         332,783         100,58           Neurology         8,342         345,279         128,15           Rheumatology         25,7	Total	% <18 weeks										
General Surgery	416,791	830,484	314,079	84.7 %								
Urology	231,541	521,738	236,562	85.1 %								
Trauma & Orthopaedics	603,869	1,231,914	498,219	83.3 %								
ENT	167,454	916,062	304,350	84.5 %								
Ophthalmology	539,676	1,180,451	432,827	85.8 %								
Oral Surgery	169,904	389,959	172,218	83.2 %								
Neurosurgery	29,533	76,173	33,234	81.3 %								
Plastic Surgery	124,410	113,285	52,660	82.4 %								
Cardiothoracic Surgery	25,032	14,878	8,781	82.8 %								
General Medicine	29,490	187,035	42,512	91.0 %								
Gastroenterology	236,147	492,119	222,657	88.5 %								
Cardiology	106,404	589,505	207,407	89.5 %								
Dermatology	104,463	861,632	201,482	89.6 %								
Thoracic Medicine	22,127	332,783	100,552	90.4 %								
Neurology	8,342	345,279	128,155	85.8 %								
Rheumatology	25,702	326,410	89,105	89.9 %								
Geriatric Medicine	4,042	113,366	20,190	95.6 %								
Gynaecology	217,420	869,961	274,298	87.5 %								
Other	522,444	3,458,843	893,148	89.0 %								
Total	3,584,791	12,851,877	4,232,436	86.7 %								

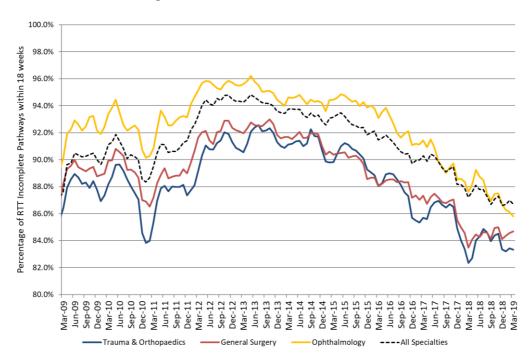
5.1.5 Trauma & Orthopaedics, Ophthalmology, and General Surgery are the three specialties with the largest waiting lists, by volume (Table 3). These three

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<sup>&</sup>lt;sup>10</sup> Incomplete pathways are a snapshot of the patients waiting at the end of a month; therefore, it is inappropriate to sum the incomplete pathways across a year. The equivalent annual figure for incomplete pathways is the waiting times of the patients waiting at the end of the year.

- specialties have, therefore, been used to illustrate specialty level performance against the incomplete standard over time.
- 5.1.6 For patients waiting to start treatment in these three specialties (incomplete pathways), we see broadly similar long term trends to the England level (all specialties) waiting times (Chart 11).

Chart 11: Percentage of incomplete RTT pathways within 18 weeks, by three treatment functions, England



# 6. RTT waiting times by region

- 6.1.1 In 2018/19, there were five NHS England and NHS Improvement regions in England. There is some variation in the waiting times for services commissioned by Clinical Commissioning Groups within these regions (Table 4 and Chart 12).
- 6.1.2 At the end of March 2019, the percentage of patients that had been waiting up to 18 weeks across the five regions ranged from 83.7% to 88.1%.
- 6.1.3 As expected, given that they cover populations of varying sizes, the volume of RTT activity carried out in 2018/19 and the size of the RTT waiting list at the end of March 2019 differs for each region (Table 4).

Table 4: RTT pathways completed in 2018/19 and percentage of incomplete pathways within 18 weeks as at Mar-19, by region<sup>11</sup>

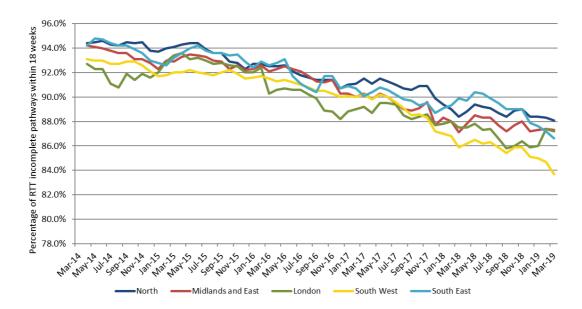
Pagion	Com	pleted, 2018/19	Incom	plete, Mar-19 <sup>12</sup>				
Region	Admitted	Non-admitted	Total	87.2 %				
London	425,946	2,033,525	648,771	87.2 %				
Midlands	970,306	3,634,291	1,147,159	87.3 %				
North	978,727	3,549,906	1,112,299	88.1 %				
South East	546,543	1,828,961	619,647	85.7 %				
South West	362,147	871,653	376,596	83.7 %				
England <sup>13</sup>	3,584,791	12,851,877	4,232,436	86.7%				

<sup>12</sup> Incomplete pathways are a snapshot of the patients waiting at the end of a month; therefore, it is inappropriate to sum the incomplete pathways across a year. The equivalent annual figure for incomplete pathways is the waiting times of the patients waiting at the end of the year.

<sup>&</sup>lt;sup>11</sup> The figures in this table exclude trusts that did not supply information (see Section 7.3).

<sup>&</sup>lt;sup>13</sup> The total numbers of patients who started treatment and were waiting by region does not add up to the England total due to RTT pathways commissioned by NHS England, including specialised services, offender healthcare and some services for members of the armed forces.

Chart 12: Percentage of incomplete pathways within 18 weeks, by region



# 7. Methodology

#### 7.1 Data collection

- 7.1.1 RTT data is collected from providers of consultant-led services for NHS patients in England.
- 7.1.2 NHS commissioners review the data and NHS England and NHS Improvement performs central validation checks to ensure good data quality.
- 7.1.3 The data measures RTT waiting times in weeks, split by treatment function. The treatment functions are based on consultant specialties. The data return includes all patients whose RTT clock stopped at any point in the reporting period or whose RTT clock is still running at the end of the reporting period.
- 7.1.4 For the period April to September 2015, there were two main central returns:
  - unadjusted: covering admitted patients, non-admitted patients and patients on incomplete pathways
  - adjusted: covering admitted patients on an adjusted basis (i.e. including legitimate pauses to patients' waiting time clocks).
- 7.1.5 As per the changes detailed in Section 3.6, from October 2015 data onwards, the reporting requirements changed, as follows:
  - no longer a requirement for providers to submit admitted adjusted data
  - unadjusted admitted and non-admitted completed pathway data still collected but no longer used for monitoring against operational standards
  - requirement to report incomplete pathway data unchanged
  - two new data items added to the data return: incomplete pathways for patients with a decision to admit for treatment and new RTT periods.

# 7.2 Data availability

7.2.1 RTT waiting times figures are published to a pre-announced timetable, roughly 6 weeks after the end of the reference month. This typically occurs the second Thursday of each calendar month. Future publication dates are available on the NHS England website at the following address:

https://www.england.nhs.uk/statistics/12-months-statistics-calendar/

7.2.2 Monthly RTT data are published on the NHS England website at the following location:

http://www.england.nhs.uk/statistics/statistical-work-areas/rtt-waiting-times/

7.2.3 The annual statistical report is published once a year. Prior to 2015/16, the report was based on calendar years and was published in February. The report is now based on financial years, and published in June.

# 7.3 Data coverage

- 7.3.1 The NHS Standard Contract requires providers to report monthly RTT data; data submission is mandatory for all NHS trusts that provide services that fall within the scope of consultant-led RTT waiting times measurement.
- 7.3.2 Independent Sector providers are encouraged to engage in the RTT data collection process by monitoring RTT times for NHS patients being seen/treated within their organisation and by submitting this information in the same way as NHS provider organisations. When Independent Sector providers do not have the technical capability to submit data, NHS commissioners can submit on their behalf.
- 7.3.3 Sometimes a provider organisation is unable to submit RTT data in time for monthly publication (for example, due to technical issues resulting from the introduction of a new computing system). Table 5 lists acute provider organisations for which data is missing for the period April 2014 to March 2019.
- 7.3.4 The impact of missing data varies by measure. The biggest impact is on measures of volume, such as the number of completed pathways and the size of the RTT waiting list. The impact of missing trusts on the percentage of incomplete pathways within 18 weeks at England is generally minimal; however, where a large trust that has previously had a particular high or low percentage of incomplete pathways within 18 weeks does not submit data, there can be a material impact on the England level percentage.
- 7.3.5 For this reason, Section 4.3 of this report is based on data which includes estimates for missing data from acute NHS providers.
- 7.3.6 The estimates for missing data are based on the latest data submitted for each trust prior to the gap in reporting. For example, Colchester Hospital University NHS Foundation Trust was unable to submit RTT data from December 2014 to April 2015 inclusive, so the data submitted by the trust for November 2014 was used to produce estimates of the missing data. For incomplete pathways, the total number of incomplete pathways in the month prior to the gap in reporting is applied to all missing months. For completed (admitted and non-admitted) pathways, the total number of pathways per working day in the month prior to the gap in reporting is applied to all missing months, multiplied by the relevant number of working days in each month.

7.3.7 Estimates are only applied for total admitted, non-admitted and incomplete pathways and are included for acute NHS providers. A spreadsheet showing a time series for total admitted, non-admitted and incomplete pathways with and without estimates for missing data accompanies this report.

Table 5: Non-reporting acute providers, by month

	2016/17										2017/18											2018/19										
Provider	Apr	Мау	Jun 3	Jul	Sen	Oct	Nov	Dec	Jan	Feb	Mar	Apr	Мау	Jun	Inc	Aug	dec	Nov	Dec	Jan	Feb	Mar	Apr	Мау	Jun	Jul	Aug	Sep Oct	Nov	Dec	Jan	Feb
Barking, Havering & Redbridge NHS Trust																																
Barts Health NHS Trust																																
Bradford Teaching Hospitals NHS Foundation Trust*																																
Burton Hospitals NHS Foundation Trust																																
Calderdale and Huddersfield NHS Foundation Trust ‡																																
East and North Hertfordshire NHS Trust																																
Gloucestershire Hospitals NHS Foundation Trust																																
Great Ormond Street Hospital for Children NHS Foundation Trust																																
Kettering General Hospital NHS Foundation Trust																																
Liverpool Women's NHS Foundation Trust																																
Medway NHS Foundation Trust																																
Mid Essex Hospital Services NHS Trust ¶																																
Northern Devon Healthcare NHS Trust																																
Royal Free London NHS Foundation Trust																																
Sherwood Forest Hospitals NHS Foundation Trust ‡																																
St George's Healthcare NHS Trust																																
St Helens and Knowsley Hospital Services NHS Trust																																
The Royal Orthopaedic Hospital NHS Foundation Trust																																
United Lincolnshire Hospitals NHS Trust																																
Walsall Healthcare NHS Trust																																
Wye Valley NHS Trust §																																
Yeovil District Hospital NHS Foundation Trust																																
Number of acute providers not submitting any incomplete RTT data	7	7	8	8	8	8 5	5 4	5	4	3	3	3	4	6	6	6	8	8	3 6	7	6	6	5	5	5	5	5	5 4	1 4	1 3	2	2
Legend:		Did no			• •			on-ad	lmitte	d or ir	ncom	plete	e) RT	T pat	hway	data	-	-		-						•		•				

<sup>\*</sup> Bradford Teaching Hospitals NHS Foundation Trust did not submit any admitted or non-admitted pathways data in March 19.

<sup>†</sup> Calderdale and Huddersfield NHS Foundation Trust did not submit admitted partition of the Company 2019 and did not submit admitted data in March 2019.

¶ Following a non-submission in November 2017, Mid Essex Hospital Services NHS Trust stopped reporting in January 2018, they have not yet returned to reporting.

‡ Sherwood Forest Hospitals NHS Foundation Trust did not submit any admitted or non-admitted data for October 2016.

<sup>§</sup> Wye Valley NHS Trust did not submit any non-admitted or incomplete pathway data from May 2015 to November 2016 inclusive.

<sup>||</sup> Yeovil District Hospital NHS Foundation Trust did not submit any admitted or non-admitted data for June 2016.

#### 7.4 Data revisions

7.4.1 Revisions to published figures are released on a six-monthly basis in accordance with the NHS England and NHS Improvement statistics revision policy. This policy is available from the NHS England website at the following address:

https://www.england.nhs.uk/statistics/wp-content/uploads/sites/2/2018/12/SDCS-Revisions-Policy v1.0.pdf

- 7.4.2 The most recent set of revisions were published on 9 May 2019 for periods October 2017 to September 2018. The release of revisions was delayed from the usual timetable as a result of the transfer of the RTT collection from Unify2 to NHS Digital's Strategic Data Collection System (SDCS). We plan to release revisions for October 2018 to March 2019 in July 2019. Following this, we will resume the usual pattern of releasing revisions every six months, usually in January and July.
- 7.4.3 NHS England and NHS Improvement may receive and publish revisions to RTT data contained in the 2018/19 annual statistical report. However, this annual report will not be updated and re-released to take into account any future changes.

## 7.5 Data comparability

7.5.1 Scotland also collects and publishes RTT waiting times data and has an 18 weeks 'time waited' standard of 90%. Care needs to be taken when comparing English and Scottish RTT waiting times data as differences exist in the measurement rules; for example, some consultant-led services are not included in RTT measurement in Scotland. RTT data for Scotland are available here:

http://www.isdscotland.org/Health-Topics/Waiting-Times/18-Weeks-RTT/

7.5.2 Wales also collects and publishes RTT waiting times data and has a 26 week 'waiting time' standard of 95% and a 36 week 'time waiting' standard of 100%. Care needs to be taken when comparing English and Welsh RTT waiting times data as differences exist in the measurement rules; for example, there are differences in the circumstances where RTT clock restarts are allowed between Wales and England. RTT data for Wales are available here:

http://wales.gov.uk/statistics-and-research/referral-to-treatment-times/?lang=en

7.5.3 Northern Ireland does not measure RTT waiting times. They collect and publish stage of treatment inpatient and outpatient waiting times. These data are available here:

https://www.health-ni.gov.uk/topics/dhssps-statistics-and-research/hospital-waiting-times-statistics

7.5.4 The Government Statistical Service has released a technical document summarising (i) what is measured in each of the four UK countries, (ii) how the statistics are similar and (iii) where they have key differences. The document is available here:

https://gss.civilservice.gov.uk/health-waiting-time-statistics/

# 8. Glossary

#### 8.1.1 Adjusted

Prior to the decision to retire the operational performance standard for completed pathways, as detailed in Section 3.6, NHS England collected data on waiting times for admitted pathways where adjustments had been made for clock pauses (which occurred when a patient declined reasonable offers of admission and chose to wait longer).

#### 8.1.2 Admitted pathway

The waiting times (time waited) for patients whose treatment started during the month and involved admission to hospital.

#### 8.1.3 Clock start

The date on which a patient's RTT pathway starts, when a patient is referred for consultant-led treatment and the referral is received by the provider.

#### 8.1.4 New Periods

The number of new RTT periods during the month, i.e. clock starts which occurred within the reporting period.

#### 8.1.5 Clock Stop

The date on which a patient's RTT pathway ends. The following activities end the RTT pathway and lead to the RTT clock being stopped:

- first treatment the start of the first treatment that is intended to manage a patient's disease, condition or injury
- start of active monitoring initiated by the patient
- start of active monitoring initiated by the care professional
- decision not to treat decision not to treat made or no further contact required
- patient declined offered treatment
- patient died before treatment.

#### 8.1.6 Commissioner

A commissioner is normally a Clinical Commissioning Group (CCG). CCGs commission services from providers of NHS care.

#### 8.1.7 Incomplete pathway

The waiting times for patients waiting to start treatment at the end of the month. These patients will be at various stages of their pathway, for example, waiting for diagnostics, an appointment with a consultant, or for admission for a procedure.

#### 8.1.8 Incomplete pathways with a decision to admit

Incomplete pathways with a decision to admit for treatment.

#### 8.1.9 Median and percentile waiting times

The median is the preferred measure of the average waiting time as it is less susceptible to extreme values than the mean. The median waiting times is the middle value when all patients are ordered by length of wait. This is the midpoint of the RTT waiting times distribution. For completed pathways, 50% of patients started treatment within the median waiting time, and for incomplete pathways 50% of patients were waiting within the median waiting time.

The 92nd percentile waiting time is shown for incomplete pathways to correspond with the 92% operational standard. This is the time that 92% of patients had been waiting less than (and 8% of patients had been waiting more than). For example, if the 92<sup>nd</sup> percentile is 17 weeks, then 92% of patients had been waiting less than 17 weeks at the end of the reporting period and 8% of patients had been waiting more than 17 weeks.

It should be noted that median and 92nd percentile waiting times are calculated from aggregate data, rather than patient-level data, and therefore are only estimates of the position on average waits.

#### 8.1.10 Non-admitted pathway

The waiting times (time waited) for patients whose treatment started during the month and did not involve admission to hospital.

#### 8.1.11 **Provider**

An organisation that provides NHS treatment or care, for example, an NHS Acute Trust, Mental Health Trust, Community provider, or an Independent Sector organisation.

#### 8.1.12 RTT pathway/RTT period

The length of time between a patient's RTT clock start and the clock stop for a particular treatment. Alternatively, if the patient has not yet started treatment, it is the length of time from the clock start to the end of the reference month.

#### 8.1.13 Treatment function

RTT waiting times are measured within 19 treatment functions (including "Other"), which were chosen to capture the main treatment areas. Treatment functions are based on consultant specialties.

#### 9. Additional information

We welcome feedback on the content and presentation of RTT statistics within this report and those published on the NHS England website. Comments on this report, or general queries regarding RTT data and statistics, can be emailed to <a href="mailedto.england.rtt@nhs.net">england.rtt@nhs.net</a>.

9.1.1 Full details of RTT data for individual organisations is available at:

http://www.england.nhs.uk/statistics/statistical-work-areas/rtt-waiting-times/

- 9.1.2 For press enquiries, please e-mail the NHS England media team at <a href="mailto:nhsengland.media@nhs.net">nhsengland.media@nhs.net</a> or call 0113 825 0958 or 0113 825 0959.
- 9.1.3 The Government Statistical Service (GSS) statistician with overall responsibility for the data in this report is:

Debbie Moon Performance Analysis Team – Activity and Planning NHS England and NHS Improvement Room 5E24, Quarry House, Leeds LS2 7UE

E-mail: <a href="mailto:england.rtt@nhs.net">england.rtt@nhs.net</a>

## 10. Annex

10.1.1 The tables and charts shown in Section 4.3 include estimates for missing data (see Section 7.3 for more information). Equivalent versions of the charts based on published data only – in other words, without any adjustments for the impact of missing data – are shown here for reference.

Chart 14: Number of RTT patients who started treatment in each month, published figures, England (alternative version of Chart 8, Section 4.3)<sup>14</sup>

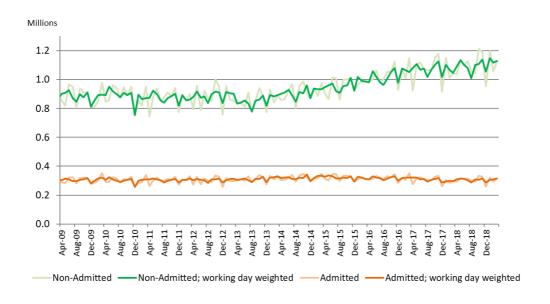
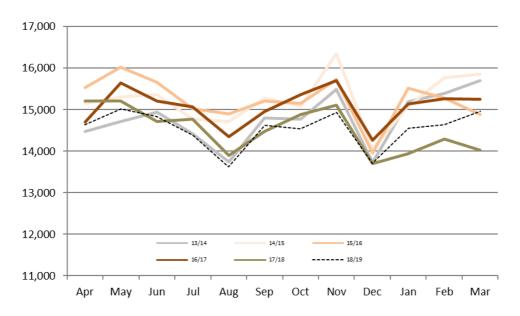


Chart 15: Number of RTT patients starting admitted treatment per working day, published figures, England (alternative version of Chart 9, Section 4.3)



<sup>&</sup>lt;sup>14</sup> Data were weighted by dividing the average number of working days per month in the reference period (Aug-07 to Mar-17) by the number of working days in each individual month, then by multiplying this value by the number of pathways completed in each individual month.

Chart 16: Number of RTT patients starting non-admitted treatment per working day, published figures, England (alternative version of In 2018/19, an average of just over 52,000 patients started non-admitted treatment per working day, compared with approximately 51,00 in 2017/18 (an increase of 2.5%). Since April 2014, the number of RTT patients starting non-admitted treatment per working day has been higher every month compared to the same month in the previous year (Chart 10).

#### Chart 10, Section 4.3)

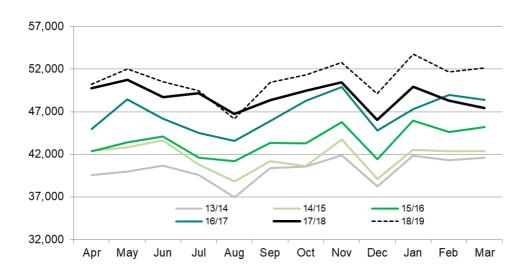


Chart 17: Number of RTT patients waiting at the end of the month, published figures, England (alternative version of Chart 4, Section 4.3)

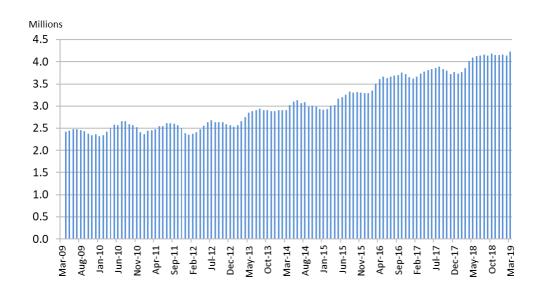


Chart 18: Number of RTT patients waiting at month-end year-on-year, published figures, England (alternative version of chart 6, Section 4.3)

